

05/23/01
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5-25-01

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EL661831710US

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Assistant Commissioner of Patents
Box Patent Application-FEE
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s) : R. Michael Daley et al
For SYSTEM AND METHOD FOR MANAGING INVESTMENT
ACCOUNTS FOR A FINANCIAL INSTITUTION
WHICH PROVIDES INVESTMENT ASSISTANCE
TO INDIVIDUAL CLIENTS

Enclosed are:

- [x] 2 sheet(s) of informal drawing(s), Figures 1-2.
- [x] Applicant claims small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27
- [x] The filing fee has been calculated as shown below:

SMALL ENTITY

<u>For:</u>	<u>No. Filed</u>	<u>No. Extra</u>	<u>Rate</u>	<u>Fee</u>
<u>Utility Fee</u>				\$355
<u>Total Claims:</u>	16	0	x 9=	\$-0-
<u>Independent Claims:</u>	1	0	x 40=	\$-0-
TOTAL:				<u>\$355</u>

- [x] A check in the amount of \$355 is enclosed.
- [x] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1900. A duplicate copy of this sheet is enclosed.
- [x] Any additional filing fees required under 37 C.F.R. 1.16.
- [X] Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

JENSEN & PUNTIGAM, P.S.

By Clark A. Puntigam
Clark A. Puntigam #25,763

CAP:gh

Phone: (206)448-3200

Encls: Application, Claims, 2 Sheets Drawings,
Declaration, Check, Postcard, Assignment & Check

jc903 U.S. PTO
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